

ORIGINAL ARTICLE

# Kidney-Failure Risk Projection for the Living Kidney-Donor Candidate

Morgan E. Grams, M.D., Ph.D., Yingying Sang, M.S., Andrew S. Levey, M.D., Kunihiro Matsushita, M.D., Ph.D., Shoshana Ballew, Ph.D., Alex R. Chang, M.D., Eric K.H. Chow, M.Sc., Bertram L. Kasiske, M.D., Csaba P. Kovcsy, M.D., Girish N. Nadkarni, M.D., M.P.H., Varda Shalev, M.D., M.P.A., Dorry L. Segev, M.D., Ph.D., Josef Coresh, M.D., Ph.D., Krista L. Lentine, M.D., Ph.D., and Amit X. Garg, M.D., Ph.D., for the Chronic Kidney Disease Prognosis Consortium\*

## ABSTRACT

### BACKGROUND

Evaluation of candidates to serve as living kidney donors relies on screening for individual risk factors for end-stage renal disease (ESRD). To support an empirical approach to donor selection, we developed a tool that simultaneously incorporates multiple health characteristics to estimate a person's probable long-term risk of ESRD if that person does not donate a kidney.

### METHODS

We used risk associations from a meta-analysis of seven general population cohorts, calibrated to the population-level incidence of ESRD and mortality in the United States, to project the estimated long-term incidence of ESRD among persons who do not donate a kidney, according to 10 demographic and health characteristics. We then compared 15-year projections with the observed risk among 52,998 living kidney donors in the United States.

### RESULTS

A total of 4,933,314 participants from seven cohorts were followed for a median of 4 to 16 years. For a 40-year-old person with health characteristics that were similar to those of age-matched kidney donors, the 15-year projections of the risk of ESRD in the absence of donation varied according to race and sex; the risk was 0.24% among black men, 0.15% among black women, 0.06% among white men, and 0.04% among white women. Risk projections were higher in the presence of a lower estimated glomerular filtration rate, higher albuminuria, hypertension, current or former smoking, diabetes, and obesity. In the model-based lifetime projections, the risk of ESRD was highest among persons in the youngest age group, particularly among young blacks. The 15-year observed risks after donation among kidney donors in the United States were 3.5 to 5.3 times as high as the projected risks in the absence of donation.

### CONCLUSIONS

Multiple demographic and health characteristics may be used together to estimate the projected long-term risk of ESRD among living kidney-donor candidates and to inform acceptance criteria for kidney donors. (Funded by the National Institute of Diabetes and Digestive and Kidney Diseases and others.)

The authors' affiliations are listed in the Appendix. Address reprint requests to Dr. Coresh at the Chronic Kidney Disease Prognosis Consortium Data Coordinating Center, 2024 E. Monument St., Baltimore, MD 21287, or at ckdpc@jhmi.edu.

\*A complete list of the members of the Chronic Kidney Disease Prognosis Consortium is provided in the Supplementary Appendix, available at NEJM.org.

Drs. Lentine and Garg contributed equally to this article.

This article was published on November 6, 2015, at NEJM.org.

DOI: 10.1056/NEJMoa1510491

Copyright © 2015 Massachusetts Medical Society.

**N**EARLY 30,000 PEOPLE WORLDWIDE BECOME living kidney donors each year.<sup>1-3</sup> Traditionally, living donors have been selected on the basis of an absence of risk factors for poor outcomes after donation and without a comprehensive assessment of individualized long-term risk. Although kidney donation is considered to be safe in healthy, low-risk persons, donation has lifelong implications, and the most direct effect may be an increased long-term risk of end-stage renal disease (ESRD).<sup>4-7</sup> A tool to predict a donor candidate's long-term risk of ESRD that incorporates the combined effect of multiple demographic and health characteristics before donation could help make the criteria by which a potential kidney donor is accepted or declined more empirical and transparent.

In the absence of a robust epidemiologic framework for the assessment of long-term risk, acceptance criteria for living kidney donation have varied widely among transplantation centers.<sup>8-10</sup> Controversy exists over whether donor candidates with certain health characteristics, such as older age or hypertension, should be accepted for kidney donation. Some transplantation centers use more stringent criteria for younger donors than for middle-aged donors, given the long postdonation life expectancy during which complications may develop.<sup>11</sup> Race is also a consideration in the evaluation of donor candidates; the risk of ESRD is higher among blacks than among whites both in the general U.S. population and in the donor population.<sup>2,5,12-14</sup>

We developed an online risk tool to help evaluate, counsel, and accept living kidney-donor candidates ([www.transplantmodels.com/esrdrisk](http://www.transplantmodels.com/esrdrisk)). Using population-based data, we derived equations that quantify the combined effect of 10 routinely available demographic and health characteristics to estimate the risk of ESRD among kidney-donor candidates over a 15-year time horizon. These estimates do not incorporate any added risk that is attributable to kidney donation. Kidney donation probably increases the risk of ESRD, but the increase in risk according to predonation characteristics is difficult to quantify reliably with the use of existing data.<sup>15-17</sup> We compared risk projections with the observed 15-year incidence of ESRD among living kidney donors, hypothesizing, on the basis of recent reports,<sup>5,6</sup> that the incidence of ESRD among persons who donate kidneys would be at

least four times as high as the projected incidence in the absence of donation. Because many kidney donors are young, we also projected the lifetime risk of ESRD, with the caveat that these lifetime estimates lack precision and were based on relatively short follow-up data.

---

## METHODS

---

### STUDY PROTOCOL

We developed risk equations to estimate the long-term risk of ESRD in the absence of kidney donation according to a person's demographic and health characteristics. Source data included the annual incidence of ESRD in the overall U.S. population and the associations of health characteristics with ESRD in seven general population studies (Section 1 in the Supplementary Appendix, available with the full text of this article at [NEJM.org](http://NEJM.org)). The protocol, with the statistical analysis plan, is available at [NEJM.org](http://NEJM.org).

### INCIDENCE OF ESRD IN THE U.S. POPULATION

The annual incidence of ESRD, defined as the need for long-term dialysis or a kidney transplant, was previously estimated in the U.S. population within the categories of age, sex, and race.<sup>14</sup> These estimates were derived with the use of actual ESRD incidence and mortality data collected by the U.S. Renal Data System and overall mortality data from the U.S. Census (Section 2 in the Supplementary Appendix).<sup>18</sup> Annual rates were compounded to determine the absolute risk over the desired time horizon.

We partitioned the population incidence of ESRD into a high-risk subgroup (ineligible for kidney donation) and a low-risk subgroup (potentially eligible for kidney donation), with the latter subgroup specified to exclude persons with one or more of the following absolute contraindications to kidney donation: an estimated glomerular filtration rate (eGFR) of less than 45 ml per minute per 1.73 m<sup>2</sup> of body-surface area, insulin-dependent diabetes mellitus, the use of four or more antihypertensive medications, a blood pressure of 160/90 mm Hg or more while the person was taking medication or 170/100 mm Hg or more while the person was not taking medication, a urinary albumin-to-creatinine ratio of 300 or more (as measured in milligrams of albumin to grams of creatinine), or a history of coronary heart disease, stroke, congestive

heart failure, or peripheral arterial disease (Table S1 in the Supplementary Appendix).

#### **ASSOCIATIONS OF INDIVIDUAL HEALTH CHARACTERISTICS WITH ESRD**

We quantified the associations between health characteristics and ESRD in the low-risk subgroups of seven general population cohorts that were assembled by the Chronic Kidney Disease Prognosis Consortium<sup>19</sup>: the Third National Health and Nutrition Examination Survey (NHANES III, 1988–1994), the Atherosclerosis Risk in Communities (ARIC) Study, the Geisinger Health System, the Maccabi Health System, the Veterans Health Administration (VA), the Mount Sinai BioMe cohort, and the Institute for Clinical Evaluative Sciences Ontario Kidney, Dialysis, and Transplantation Program. To ensure model stability, cohorts were required to have data on at least 20 ESRD events in the low-risk subgroup.

We considered 13 distinct demographic and health characteristics: age, race, sex, eGFR, urinary albumin-to-creatinine ratio, systolic blood pressure, the presence or absence of noninsulin-dependent diabetes mellitus, the use or nonuse of antihypertensive medication, smoking status, body-mass index (BMI; the weight in kilograms divided by the square of the height in meters), total cholesterol level, low-density lipoprotein (LDL) cholesterol level, and history of kidney stones. All the models were adjusted for an interaction between age and race.

Risk associations were estimated with the use of multivariable Cox proportional-hazards models individually in each cohort and then combined with the use of a random-effects meta-analysis. Multiple imputation was used for missing data on health characteristics. Missing data ranged from less than 1% for all variables in the ARIC cohort to more than 99% for measures of albuminuria in the VA cohort (Table S2 in the Supplementary Appendix). Coefficients that were based on data missing more than 20% of the time were not used in the meta-analysis. The discrimination of coefficients resulting from the meta-analysis was evaluated in the individual cohorts (Table S3 in the Supplementary Appendix).

#### **ESTIMATING THE LONG-TERM INCIDENCE OF ESRD IN THE BASE-CASE SCENARIO**

We applied the coefficients derived from the meta-analysis to the low-risk subgroups of the

NHANES III and continuous NHANES (1999–2010) cohorts using sample weights according to analytic guidelines.<sup>20</sup> A base-case scenario was defined by the average health characteristics of the living donor population in the United States: a systolic blood pressure of 120 mm Hg, a urinary albumin-to-creatinine ratio of 4 (as measured in milligrams of albumin to grams of creatinine), a BMI of 26, no smoking, no diabetes or use of antihypertensive medication<sup>5</sup> (characteristics that were fairly uniform among donors, regardless of age), and an average eGFR within subgroups of age (Section 3 in the Supplementary Appendix).

The linear function for each participant was centered on that of the base-case scenario within each category of age (in 10-year increments), sex, and race.<sup>21</sup> We calibrated this risk to the estimated incidence of ESRD in the low-risk population over the given time periods (15 years and lifetime) by dividing the overall estimate by the sum of the product of the prevalence of each low-risk participant's health profile and the exponentiated linear function (Section 4 in the Supplementary Appendix).

#### **PROJECTED RISKS IN THE DONOR POPULATION**

We applied the risk equations to 57,508 living kidney donors assembled from the U.S. Organ Procurement and Transplantation Network between January 1, 2005, and July 2, 2014. After the exclusion of 4510 donors who were missing predonation data on serum creatinine level or systolic blood pressure, 52,998 donors were included.

The urinary albumin-to-creatinine ratio was imputed as 4 (measured in milligrams of albumin to grams of creatinine) for participants with urinalysis results reported as “negative,” “not done,” or “unknown” and as 30 for those with results reported as “positive.” Smoking status was imputed as former smoker if “history of cigarette use” or “other tobacco used” was reported. In total, 2.5% of the donors had missing data regarding BMI, 1.7% had missing data regarding diabetes mellitus, and 97.5% had missing data regarding use of antihypertensive medication. Missing values were imputed as follows: 26 for BMI, no diabetes mellitus for status with respect to diabetes mellitus, and no antihypertensive medication for status with respect to antihypertensive medication use.

**Table 1. Characteristics of the Low-Risk Subgroups in the General Population Cohorts.\***

Characteristic	NHANES	ARIC	VA†	ICES KDT‡	Maccabi	Mount Sinai	Geisinger
Country	U.S.	U.S.	U.S.	Canada	Israel	U.S.	U.S.
Low-risk population — no. of participants (% of total cohort)§	8775 (81)	8155 (72)	1,362,620 (52)	2,120,427 (52)	1,149,058 (90)	8844 (73)	275,435 (80)
ESRD event — no. of participants (%)	38 (0.4)	81 (1.0)	845 (0.1)	1146 (0.1)	1355 (0.1)	69 (0.8)	366 (0.1)
Follow-up — yr							
Median	16	14	6	6	8	4	8
Interquartile range	14–18	13–15	6–7	3–8	4–11	2–6	4–12
Age							
Mean — yr	41±16	63±6	56±15	40±15	40±15	49±15	46±17
Distribution — % of participants¶							
18–24 yr	16	0	3	17	16	5	12
25–34 yr	25	0	8	22	24	16	17
35–44 yr	23	0	13	25	25	18	21
45–54 yr	14	6	23	21	18	24	21
55–64 yr	11	57	29	10	10	22	15
65–74 yr	8	36	15	4	5	11	10
75–84 yr	3	0	10	1	2	3	4
Women — % of participants	52	57	9	58	56	60	59
Black race — % of participants	12	20	18	NA	0	46	2
eGFR — ml/min/1.73 m <sup>2</sup>	102±19	88±14	87±16	103±18	95±21	92±22	97±20
Urinary albumin-to-creatinine ratio**							
Median	6	3	5	NA	0	7	12
Interquartile range	4–9	2–7	3–11	NA	0–12	3–22	5–29
Systolic blood pressure — mm Hg	119±14	124±16	130±14	NA	119±14	123±14	124±14
Antihypertensive drug use — % of participants	17	33	23	NA	6	15	12
Noninsulin-dependent diabetes mellitus — % of participants	8	11	NA	NA	8	11	7
Body-mass index††							
Mean	26±5	28±5	28±5	NA	26±5	28±9	30±7
>30 — % of participants	20	32	32	NA	18	30	41
>35 — % of participants	8	11	10	NA	6	14	19

Smoking status — % of participants	25	15	NA	NA	23	14	25
Current smoker	35	42	NA	NA	2	18	22
Former smoker							

\* Plus-minus values are means ±SD. The cohorts included the Atherosclerosis Risk in Communities Study (ARIC), the Geisinger Health System (Geisinger), the Maccabi Health System (Maccabi), the Mount Sinai BioMe cohort (Mount Sinai), the Third National Health and Nutrition Examination Survey (NHANES), the Institute for Clinical Evaluative Sciences Ontario Kidney, Dialysis and Transplantation Program (ICES KDT), and the Veterans Health Administration (VA). The term eGFR denotes estimated glomerular filtration rate; ESRD end-stage renal disease; NA not available, and U.S. United States.

- † This cohort did not supply information on insulin use, so the low-risk subgroup excluded all persons with diabetes.
- ‡ This cohort did not supply information on systolic blood pressure or insulin use, so the low-risk cohort excluded all persons with diabetes and hypertension.
- § The low-risk subgroup excluded persons with an eGFR of less than 45 ml per minute per 1.73 m<sup>2</sup>, insulin-dependent diabetes mellitus, the use of four or more antihypertensive medications, blood pressure of 160/90 mm Hg or more while the participant was taking medication or 170/100 mm Hg or more while the participant was not taking medication, urinary albumin-to-creatinine ratio of 300 or more (as measured in milligrams of albumin to grams of creatinine), and a history of coronary heart disease, stroke, congestive heart failure, or peripheral arterial disease.
- ¶ Percentages do not always sum to 100 owing to rounding.
- || Data on race were not available in this cohort, but it was estimated that approximately 3% of the population in Ontario is black.
- \*\* The albumin-to-creatinine ratio was measured in milligrams of albumin to grams of creatinine.
- †† The body-mass index is the weight in kilograms divided by the square of the height in meters.

**STATISTICAL ANALYSIS**

We compared recently published data regarding the 15-year risk of ESRD among kidney donors<sup>5</sup> with the projected risk in the absence of donation in a hypothetical group of age-matched donor candidates and assessed the relative risk. We conducted various sensitivity analyses. First, we varied by ±33% the estimated proportion of events occurring in the low-risk subgroup, and second, we projected the long-term risk of ESRD with the use of coefficients derived from a literature review.<sup>22,23</sup> Because the coefficients in our meta-analysis were similar to those that have been published previously for all variables except BMI, the sensitivity analyses that were based on a literature review focused on BMI. All the analyses were performed with the use of Stata/MP software, version 13.1 (StataCorp).

**RESULTS**

**CHARACTERISTICS OF THE PARTICIPANTS AT BASELINE**

Overall, there were 8,325,115 participants in the seven cohorts, of whom 4,933,314 had no health conditions that were deemed to be absolute contraindications to kidney donation. In this subgroup, there were 3900 ESRD events over a period of 31,321,064 person-years of follow-up; the median follow-up ranged from 4 years in the Mount Sinai cohort to 16 years in the NHANES cohort (Table 1). The average age of the participants at cohort entry ranged from 40 years in the ICES KDT cohort to 63 years in the ARIC cohort. The proportion of women ranged from 9% in the VA cohort to 52 to 60% in the remaining cohorts.

**ASSOCIATIONS OF HEALTH CHARACTERISTICS WITH ESRD**

There was a graded association between lower eGFR and higher risk of ESRD at levels of less than 90 ml per minute per 1.73 m<sup>2</sup>; at levels of 90 ml per minute per 1.73 m<sup>2</sup> or more, there was no significant association (Table 2). Other characteristics that were associated with a higher risk of ESRD included noninsulin-dependent diabetes (adjusted hazard ratio for the comparison with no diabetes, 3.01; 95% confidence interval [CI], 1.91 to 4.74), higher systolic blood pressure (hazard ratio per increase of 20 mm Hg, 1.42; 95% CI, 1.27 to 1.58), use of antihypertensive



medication (hazard ratio for the comparison with no use, 1.35; 95% CI, 1.01 to 1.82), former smoking (hazard ratio for the comparison with never smoking, 1.45; 95% CI, 1.23 to 1.71), current smoking (hazard ratio for the comparison with never smoking, 1.76; 95% CI, 1.29 to 2.41), and higher urinary albumin-to-creatinine ratio (hazard ratio per increase of 10×, 2.94; 95% CI, 0.99 to 8.75). There was a relatively weak association between BMI and the risk of ESRD; a small graded association was observed with a BMI of more than 30 (hazard ratio per increase of 5 above 30, 1.16; 95% CI, 1.04 to 1.29). Findings regarding total cholesterol level, LDL cholesterol level, and history of kidney stones were not significant and thus were excluded from the final model.

#### INDIVIDUALIZED ESRD RISK PROJECTIONS

The 15-year predonation projection of the risk of ESRD for the average kidney-donor candidate varied according to age, sex, and race; the highest risks were among middle-aged black men (Fig. 1A). For a 20-year-old base-case candidate, the 15-year projected risk was 0.08% among black men, 0.05% among black women, 0.02% among white men, and 0.01% among white women. The corresponding estimates for a 40-year-old base-case candidate were 0.24%, 0.15%, 0.06%, and 0.04%; for a 60-year-old base-case candidate, the estimates were 0.32%, 0.18%, 0.13%, and 0.08%, respectively. As expected, the model-based lifetime projections were generally higher than the 15-year projections, especially among younger persons, although the risks were less than 2% for all base-case scenarios (Fig. 1B).

The projected risk of ESRD was higher among persons with additional risk factors, particularly a high albumin-to-creatinine ratio, than among those without additional risk factors (Table 3). Current smoking was also a strong risk factor (Fig. S1 in the Supplementary Appendix). Risk factors had a larger effect on model-based lifetime projections among young persons than among older persons (Fig. S2 in the Supplementary Appendix). The relationships were similar in most sensitivity analyses (Fig. S3 in the Supplementary Appendix), with the exception of the lifetime projected risks among young persons with obesity, in whom projected risks that were based on coefficients derived from the literature

review were higher than those in the developed model (Table S4 in the Supplementary Appendix).

#### RISK PROJECTIONS AMONG KIDNEY DONORS

When the predonation projections of risk of ESRD were applied to the donor population in the United States, 99% of the donors had a projected 15-year predonation risk of ESRD of less than 3%, 98% had a projected incidence of less than 2%, and 94% had a projected incidence of less than 1% (Fig. S4 in the Supplementary Appendix). Predonation estimates of more than 3% were most common among black donors who were 53 to 68 years of age.

The 15-year risks of ESRD that have been observed among kidney donors in the United States were 3.5 to 5.3 times as high as the projected risks among nondonors, with similar patterns of risk according to race and sex in the absence of donation and in the presence of donation (Table S5 in the Supplementary Appendix). For example, the projected 15-year risk (in the absence of donation) for the average black male donor candidate was 0.21% and the observed risk (after donation) was 0.96%. The corresponding projected and observed 15-year risks among black women were 0.12% and 0.59%; the risks among white men were 0.07% and 0.34%, respectively, and the risks among white women were 0.04% and 0.15%, respectively.

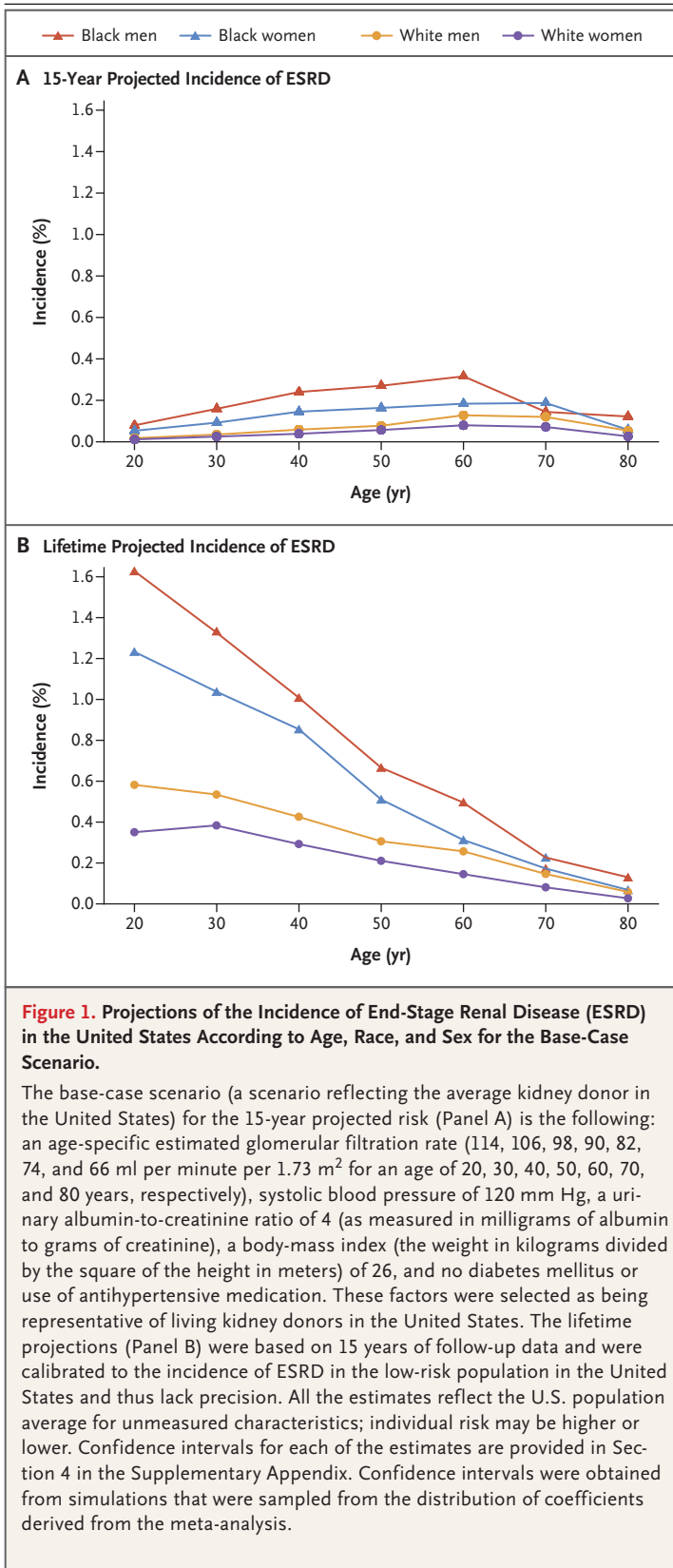
#### DISCUSSION

We estimated the long-term risk of ESRD according to 10 predonation demographic and health characteristics assessed together. We then developed an online risk tool to help evaluate and counsel living kidney-donor candidates and improve the acceptance process. We found substantial variation in the projected risks of ESRD according to age, sex, and race. For the base-case candidate, a scenario reflecting the average kidney donor in the United States, the highest 15-year risks were among middle-aged black men. In model-based lifetime projections, young persons, particularly those of black race, were at the highest risk. Many older persons had low estimates of the long-term risk of ESRD, even in the presence of health characteristics that are often considered to be contraindications to donation, such as low eGFR or mild hypertension.

**Table 2. Meta-Analysis of Multivariable-Adjusted Hazard Ratios That Estimate the Association of Baseline Characteristics with ESRD.\***

Characteristic	Hazard Ratio (95% CI)	$\beta \pm SE$	Population Cohort							
			NHANES	ARIC	VA	ICES KDT	Maccabi	Mount Sinai	Geisinger	
eGFR per decrease of 15 ml/min/1.73 m <sup>2</sup>	6.61 (4.87–8.96)	1.89±0.16	12.82 (0.35–463.68)	6.66 (1.85–23.97)	NA	10.47 (6.75–16.24)	6.00 (4.74–7.60)	2.47 (0.64–9.55)	5.50 (3.25–9.30)	
<60 ml/min/1.73 m <sup>2</sup>	1.63 (1.53–1.74)	0.49±0.03	1.05 (0.33–3.36)	1.51 (1.01–2.25)	1.50 (1.32–1.70)	1.59 (1.39–1.82)	1.72 (1.54–1.93)	1.65 (1.03–2.64)	1.85 (1.51–2.26)	
60–89 ml/min/1.73 m <sup>2</sup>	1.02 (0.85–1.23)	0.02±0.09	0.83 (0.32–2.14)	1.67 (0.87–3.20)	0.98 (0.81–1.17)	0.77 (0.68–0.88)	0.96 (0.81–1.15)	1.35 (0.81–2.27)	1.27 (0.99–1.62)	
90–119 ml/min/1.73 m <sup>2</sup>	0.79 (0.56–1.10)	-0.24±0.17	1.18 (0.47–2.94)	NA	0.50 (0.34–0.72)	1.62 (1.04–2.52)	0.72 (0.52–1.00)	0.82 (0.45–1.47)	0.59 (0.47–0.75)	
≥120 ml/min/1.73 m <sup>2</sup>	1.42 (1.27–1.58)	0.35±0.06	2.90 (1.74–4.82)	1.40 (1.04–1.88)	1.27 (1.15–1.41)	NA	1.45 (1.33–1.57)	1.29 (0.91–1.84)	1.47 (1.25–1.72)	
Systolic blood pressure, per increase of 20 mm Hg	1.35 (1.01–1.82)	0.30±0.15	0.31 (0.07–1.31)	1.18 (0.74–1.88)	1.17 (1.01–1.36)	NA	1.90 (1.68–2.16)	2.04 (1.19–3.49)	1.16 (0.90–1.49)	
Antihypertensive drug use	3.01 (1.91–4.74)	1.10±0.23	9.73 (2.97–31.88)	2.95 (1.79–4.85)	NA	NA	2.21 (1.97–2.48)	1.49 (0.79–2.81)	4.50 (3.45–5.88)	
Noninsulin-dependent diabetes mellitus	0.98 (0.81–1.17)	-0.02±0.09	2.40 (1.11–5.21)	1.20 (0.74–1.95)	0.91 (0.80–1.02)	NA	NA	0.94 (0.62–1.40)	0.87 (0.71–1.08)	
Body-mass index, per 5-point increase	1.16 (1.04–1.29)	0.15±0.05	0.95 (0.40–2.24)	1.30 (0.95–1.79)	1.26 (1.13–1.40)	NA	NA	0.99 (0.83–1.18)	1.18 (1.06–1.30)	
Smoking status										
Former smoker	1.45 (1.23–1.71)	0.37±0.08	1.98 (0.73–5.37)	1.75 (1.02–3.00)	NA	NA	1.35 (1.03–1.79)	1.12 (0.62–2.02)	1.51 (1.18–1.94)	
Current smoker	1.76 (1.29–2.41)	0.57±0.16	4.44 (1.49–13.27)	3.51 (1.81–6.78)	NA	NA	1.35 (1.17–1.56)	1.42 (0.77–2.63)	1.60 (1.22–2.09)	
Urinary albumin-to-creatinine ratio, per increase of 10×	2.94 (0.99–8.75)	1.08±0.56	5.48 (2.37–12.71)	1.80 (1.26–2.56)	NA	NA	NA	NA	NA	

\* CI denotes confidence interval, and SE standard error. The analysis was additionally adjusted for age, race, and sex. The reference category for use of antihypertensive drugs was no use of antihypertensive drugs. The reference category for noninsulin-dependent diabetes mellitus was no diabetes. The reference category for smoking status was never smoked.



This study generates estimates of long-term risk of ESRD among low-risk persons, in which a combination of individual demographic and health characteristics were considered together. Our estimates leveraged data from more than 31 million person-years of follow-up and included persons with health characteristics that are not well captured in current populations of living kidney donors.

Use of the online risk tool in kidney donor–acceptance protocols may help to minimize the number of living kidney donors in whom ESRD develops after donation, support donation among people whose long-term risk was previously misunderstood, and enhance informed consent and shared decision making with donor candidates.<sup>24</sup> Although the risk tool was developed specifically for the United States, the methods that we used to generate robust estimates may be adapted to other countries with the use of local data sources.

Our risk projections focused on ESRD in the absence of donation over a 15-year time horizon. These estimates may not fully capture the relevant risks among young donors, who may have more than 60 years of remaining life. For this reason, we also provided projected lifetime risks of ESRD, with the caveat that these estimates lack precision and use data from cohorts with relatively short follow-up time. Although we did not specifically model the incidence of risk factors such as diabetes and hypertension, our projections incorporate the community-observed rate of disease development in a given subgroup of the population, thereby incorporating all disease pathways to ESRD. However, the projections should be considered to be the population average. If a person has a higher risk of diabetes than does a peer with identical demographic and health characteristics (blood pressure, eGFR, albuminuria, BMI, and smoking status), the actual risk of ESRD may be higher than our projected risk.

Similarly, the magnitude of the added risk from donation and the variation in this risk according to health characteristics such as obesity remain uncertain. In two recent studies,<sup>5,6</sup> the ratio of the risk of donation as compared with nondonation was estimated to be 7.9 (95% CI, 4.6 to 8.1) and 11.4 (95% CI, 4.4 to 9.6). Our 15-year risk projections in the absence of donation appear to be consistent with these estimates<sup>5,6</sup>



**Table 3. Projected Incidence of ESRD in the United States among Hypothetical Donor Candidates in the Absence of Kidney Donation.\***

Scenario	Age yr	Race	eGFR ml/min/1.73 m <sup>2</sup>	Urinary Albumin: Creatinine Ratio†	Systolic Blood Pressure mm Hg	Smoking Status	15-Yr Projection (95% CI)	Model-Based Lifetime Projection (95% CI)
1	20	Black	115	4	130	Never	0.1 (0.1–0.1)	1.9 (1.2–2.5)
2	20	Black	115	4	130	Current	0.2 (0.1–0.2)	3.4 (2.0–4.8)
3	20	Black	115	4	140‡	Current	0.3 (0.1–0.4)	5.4 (2.9–8.5)
4	20	Black	115	30	140‡	Current	0.7 (0.2–1.5)	13.3 (4.8–27.0)
5	60	White	80	4	140	Never	0.2 (0.1–0.3)	0.4 (0.2–0.6)
6	60	White	60	4	140	Never	0.4 (0.2–0.6)	0.7 (0.3–1.2)
7	60	White	60	4	140‡	Never	0.5 (0.2–0.8)	1.0 (0.5–1.7)
8	60	White	60	30	140‡	Current	2.2 (1.1–3.6)	4.4 (2.1–7.0)

\* The online risk tool is available at [www.transplantmodels.com/esrdrisk](http://www.transplantmodels.com/esrdrisk). Lifetime projections are based on 15 years of follow-up data and calibrated to the incidence of ESRD in the U.S. low-risk population; thus they are imprecise. All estimates reflect the population average for unmeasured characteristics; individual risk may be higher or lower. Projections shown are for a man with the specified characteristics and with a BMI of 25 and no diabetes. Confidence intervals were obtained from simulations sampled from the distribution of hazard ratios in the meta-analysis.

† Urinary albumin-to-creatinine ratio was measured in milligrams of albumin to grams of creatinine.

‡ The projected incidence of ESRD is among persons who are taking antihypertensive medication.

and also show similar patterns of risk variation according to sex and race.<sup>12,13</sup>

The relative associations used in our online tool were derived from seven cohorts, with median follow-up periods ranging from 4 to 16 years. These estimates in the meta-analysis were, for the most part, very similar to those that have been published previously in a cohort with 25-year follow-up.<sup>22</sup> The risk of ESRD was higher among blacks than among whites and slightly higher among men than among women — findings that are similar to estimates in the general population.<sup>14,18</sup> Racial variation in the risk of ESRD may relate to the incidence of hypertension and diabetes,<sup>13,25</sup> access to care and other unmeasured environmental factors, and the distribution of kidney-disease risk alleles such as *APOL1*; our estimates incorporate only the population-average exposure to these factors. However, two studies with long-term follow-up have suggested much stronger risk associations between BMI and ESRD than we observed.<sup>22,23</sup> Sensitivity analyses suggest that an underestimate of the risk association between BMI and ESRD would be significant primarily among the youngest donor candidates. Thus, we suggest that caution be used in evaluating obese donor candidates, particularly when they are young.

Despite excellent outcomes in recipients of kidneys from older living donors,<sup>26–28</sup> only 2.8% of the living kidney donors in the United States were 65 years of age or older in 2014.<sup>3</sup> Our estimates suggest that healthy older adults may be appropriate donor candidates with respect to their risk of ESRD. It is relatively unlikely that ESRD would develop in a healthy older adult, who has lived to an older age without the development of high-risk health conditions, even in the presence of suboptimal health characteristics such as a low eGFR or mild hypertension. Other studies have shown the safety of kidney donation by older adults with respect to postdonation outcomes, such as perioperative death or cardiovascular events.<sup>26–28</sup>

To model the risk of ESRD in the absence of donation, the current study used established methods, risk estimates derived from the actual incidence in the United States, and data from millions of persons. However, certain assumptions must be emphasized, particularly with regard to the lifetime projections. First, the projections were calibrated to the incidence rates of ESRD from U.S. population data. Annual incidence was derived with the use of life-table methods, which assume a constant age-, sex-, and race-specific incidence of ESRD over periods

of decades and a static population substructure. Second, information on certain health characteristics of interest was not available. Our estimates reflect the population average for unmeasured characteristics. Persons with higher socioeconomic status than the population average may have a lower risk of ESRD, and persons with lower socioeconomic status may have a higher risk.

Third, our models to estimate the 15-year and lifetime risks were based on cohorts of low-risk persons who were followed for a median of 4 to 16 years. Fourth, random-effects meta-analysis takes into account potential heterogeneity, but precision is limited. Fifth, our study focused on a single outcome — ESRD treated with long-term dialysis or transplantation. We did not assess untreated low eGFR, a condition that is particularly common among older persons,<sup>29,30</sup> nor did we assess the risk of other diseases, such as hypertension or preeclampsia, that have been linked to kidney donation.<sup>31,32</sup> Finally, we made no estimate of the age at which ESRD would develop in a donor candidate or the duration of ESRD before death, nor did we assess the risk of perioperative or other complications from donation, which may vary according to baseline characteristics such as obesity.<sup>13,31-33</sup>

In conclusion, our online risk tool incorporates multiple baseline demographic and health characteristics to project a donor candidate's 15-year risk of ESRD in the absence of kidney donation and may be useful in the evaluation and counsel of living kidney-donor candidates. Future estimates may be improved by the incorporation of data from cohorts with longer follow-up time and from other countries and by the addition of the risk of donation according to multiple predonation health characteristics.

The views expressed in this article are those of the authors and in no way should be seen as an official policy or interpretation of the U.S. government.

Supported by a grant (K08DK092287) from the National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK), to Dr. Grams; a grant (R01DK096008) from the NIDDK, to Drs. Segev and Lentine; and the Dr. Adam Linton Chair in Kidney Health Analytics, to Dr. Garg. The Chronic Kidney Disease Prognosis Consortium (CKD-PC) Data Coordinating Center is funded in part by a program grant (R01DK100446-01) from the NIDDK and by the National Kidney Foundation (whose funding sources include AbbVie and Amgen) and the NIDDK. Various sources supported enrollment and data collection, including laboratory measurements, and follow-up in the collaborating cohorts of the CKD-PC; these funding sources include government agencies such as the National Institutes of Health and medical research councils as well as the foundations and industry sponsors listed in Section 6 in the Supplementary Appendix.

Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

#### APPENDIX

The authors' affiliations are as follows: the Division of Nephrology, Johns Hopkins University School of Medicine (M.E.G.), the Department of Epidemiology, Johns Hopkins Bloomberg School of Public Health (M.E.G., Y.S., K.M., S.B., J.C.), and the Departments of Surgery and Epidemiology, Johns Hopkins University (E.K.H.C., D.L.S.) — all in Baltimore; the Division of Nephrology, Tufts Medical Center, Boston (A.S.L.); the Division of Nephrology, Geisinger Medical Center, Danville, PA (A.R.C.); the Department of Medicine, Hennepin County Medical Center, University of Minnesota, Minneapolis (B.L.K.); Memphis Veterans Affairs Medical Center and University of Tennessee Health Science Center — both in Memphis (C.P.K.); the Division of Nephrology, Department of Medicine, Icahn School of Medicine at Mount Sinai, New York (G.N.N.); Medical Division, Maccabi Healthcare Services and Sackler Faculty of Medicine, Tel Aviv University, Tel Aviv, Israel (V.S.); Centers for Abdominal Transplantation and Outcomes Research, Saint Louis University, St. Louis (K.L.L.); and the Departments of Medicine and Epidemiology and Biostatistics, Western University, and the Institute for Clinical Evaluative Sciences — both in London, ON, Canada (A.X.G.).

#### REFERENCES

- Horvat LD, Shariff SZ, Garg AX. Global trends in the rates of living kidney donation. *Kidney Int* 2009;75:1088-98.
- United States Renal Data System. *USRDS 2013 annual data report: atlas of chronic kidney disease and end-stage renal disease in the United States*. Bethesda, MD: National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases, 2013.
- Department of Health and Human Services, Health Resources and Services Administration. *Organ Procurement and Transplantation Network: data* (<http://optn.transplant.hrsa.gov/converge/latest-Data/rptData.asp>).
- Steiner RW, Ix JH, Rifkin DE, Gert B. Estimating risks of de novo kidney diseases after living kidney donation. *Am J Transplant* 2014;14:538-44.
- Muzaale AD, Massie AB, Wang MC, et al. Risk of end-stage renal disease following live kidney donation. *JAMA* 2014;311:579-86.
- Mjøen G, Hallan S, Hartmann A, et al. Long-term risks for kidney donors. *Kidney Int* 2014;86:162-7.
- Kiberd BA. Estimating the long term impact of kidney donation on life expectancy and end stage renal disease. *Transplant Res* 2013;2:2.
- Mandelbrot DA, Pavlakis M, Danovitch GM, et al. The medical evaluation of living kidney donors: a survey of U.S. transplant centers. *Am J Transplant* 2007;7:2333-43.
- Tong A, Chapman JR, Wong G, de Bruijn J, Craig JC. Screening and follow-up of living kidney donors: a systematic review of clinical practice guidelines. *Transplantation* 2011;92:962-72.
- Ahmadi AR, Lafranca JA, Claessens LA, et al. Shifting paradigms in eligibility criteria for live kidney donation: a systematic review. *Kidney Int* 2015;87:31-45.
- Steiner RW. "Normal for now" or "at future risk": a double standard for selecting young and older living kidney donors. *Am J Transplant* 2010;10:737-41.

12. Cherikh WS, Young CJ, Kramer BF, Taranto SE, Randall HB, Fan PY. Ethnic and gender related differences in the risk of end-stage renal disease after living kidney donation. *Am J Transplant* 2011;11:1650-5.
13. Lentine KL, Schnitzler MA, Xiao H, et al. Racial variation in medical outcomes among living kidney donors. *N Engl J Med* 2010;363:724-32.
14. Grams ME, Chow EK, Segev DL, Coresh J. Lifetime incidence of CKD stages 3-5 in the United States. *Am J Kidney Dis* 2013;62:245-52.
15. Ommen ES, LaPointe Rudow D, Medapalli RK, Schröppel B, Murphy B. When good intentions are not enough: obtaining follow-up data in living kidney donors. *Am J Transplant* 2011;11:2575-81.
16. Lam NN, Lentine KL, Levey AS, Kasiske BL, Garg AX. Long-term medical risks to the living kidney donor. *Nat Rev Nephrol* 2015;11:411-9.
17. Reese PP, Boudville N, Garg AX. Living kidney donation: outcomes, ethics, and uncertainty. *Lancet* 2015;385:2003-13.
18. Kiberd BA, Clase CM. Cumulative risk for developing end-stage renal disease in the US population. *J Am Soc Nephrol* 2002;13:1635-44.
19. Matsushita K, van der Velde M, Astor BC, et al. Association of estimated glomerular filtration rate and albuminuria with all-cause and cardiovascular mortality in general population cohorts: a collaborative meta-analysis. *Lancet* 2010;375:2073-81.
20. Analytic and reporting guidelines: the Third National Health and Nutritional Examination Survey, NHANES III (1988-1994). Hyattsville, MD: National Center for Health Statistics, 1996.
21. Wilson PW, D'Agostino RB, Levy D, Belanger AM, Silbershatz H, Kannel WB. Prediction of coronary heart disease using risk factor categories. *Circulation* 1998;97:1837-47.
22. Hsu CY, McCulloch CE, Iribarren C, Darbinian J, Go AS. Body mass index and risk for end-stage renal disease. *Ann Intern Med* 2006;144:21-8.
23. Vivante A, Golan E, Tzur D, et al. Body mass index in 1.2 million adolescents and risk for end-stage renal disease. *Arch Intern Med* 2012;172:1644-50.
24. Thiessen C, Gordon EJ, Reese PP, Kulkarni S. Development of a donor-centered approach to risk assessment: rebalancing nonmaleficence and autonomy. *Am J Transplant* 2015;15:2314-23.
25. Lentine KL, Schnitzler MA, Xiao H, et al. Consistency of racial variation in medical outcomes among publicly and privately insured living kidney donors. *Transplantation* 2014;97:316-24.
26. Reese PP, Bloom RD, Feldman HI, et al. Mortality and cardiovascular disease among older live kidney donors. *Am J Transplant* 2014;14:1853-61.
27. Garg AX, Meirambayeva A, Huang A, et al. Cardiovascular disease in kidney donors: matched cohort study. *BMJ* 2012;344:e1203.
28. Segev DL, Muzaale AD, Caffo BS, et al. Perioperative mortality and long-term survival following live kidney donation. *JAMA* 2010;303:959-66.
29. Hemmelgarn BR, James MT, Manns BJ, et al. Rates of treated and untreated kidney failure in older vs younger adults. *JAMA* 2012;307:2507-15.
30. Rebholz CM, Coresh J, Ballew SH, et al. Kidney failure and ESRD in the Atherosclerosis Risk in Communities (ARIC) Study: comparing ascertainment of treated and untreated kidney failure in a cohort study. *Am J Kidney Dis* 2015;66:231-9.
31. Boudville N, Prasad GV, Knoll G, et al. Meta-analysis: risk for hypertension in living kidney donors. *Ann Intern Med* 2006;145:185-96.
32. Garg AX, Nevis IF, McArthur E, et al. Gestational hypertension and preeclampsia in living kidney donors. *N Engl J Med* 2015;372:124-33.
33. Young A, Storsley L, Garg AX, et al. Health outcomes for living kidney donors with isolated medical abnormalities: a systematic review. *Am J Transplant* 2008;8:1878-90.

Copyright © 2015 Massachusetts Medical Society.