

Social Factors and Access to Transplantation in Populations at Risk for a Poor Outcome

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CUTTING EDGE of **TRANSPLANTATION**

TRANSPLANT SUMMIT 2019

***NO SIZE FITS ALL:** Uncovering the
Potential of Personalized Transplantation*

Disclosure

I have no disclosures related to this presentation

Thought Experiment

Japan

- Life expectancy of general population = 83.7 years*
- Average educational attainment = 13.6 yrs
- #1 cause of death = Alzheimer's disease
- #2 cause of death = ischemic heart disease
- #1 risk factor for death and disability = dietary risks



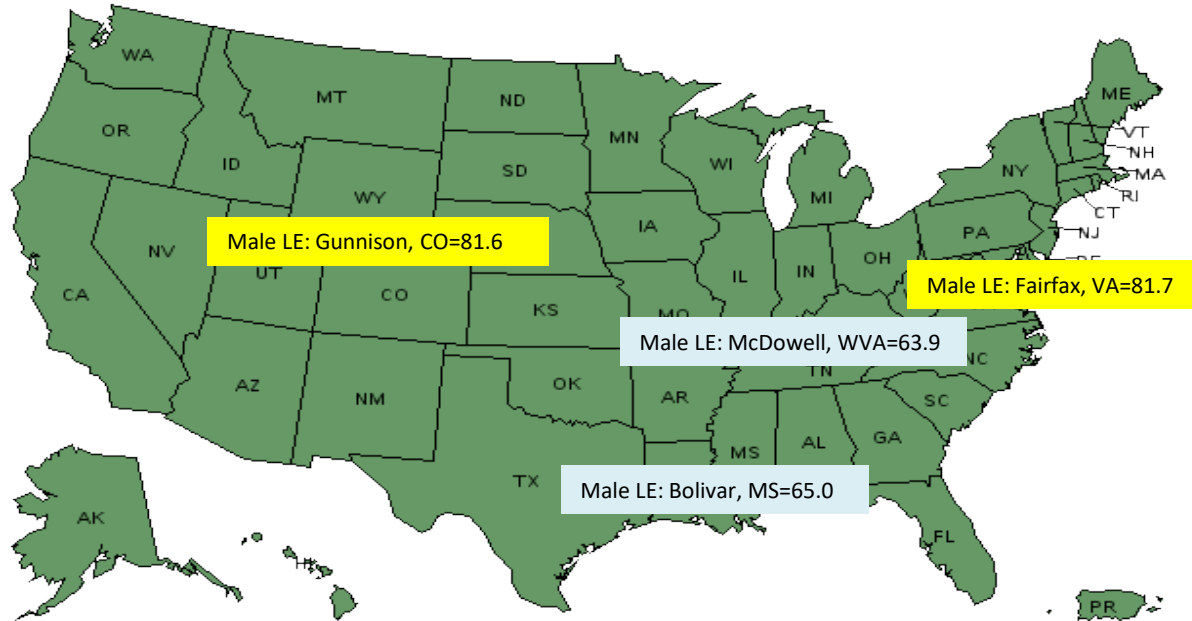
Congo

- Life expectancy of general population = 64.7 years*
- Average educational attainment = 8 yrs
- #1 cause of death = HIV/AIDS
- #2 cause of death = malaria
- #1 risk factor for death and disability = malnutrition



* source: Institute for Health Metrics

Life Expectancy by US County



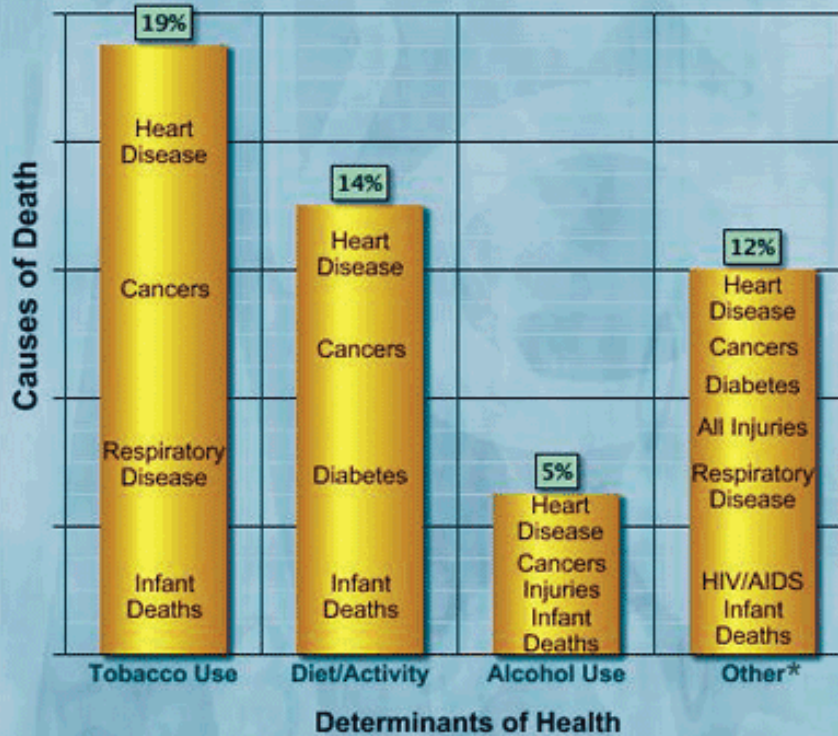
US Male Life Expectancy = 76.1

US Female Life Expectancy = 80.8

Source: Institute for Health Metrics and Evaluation, accessed 2016

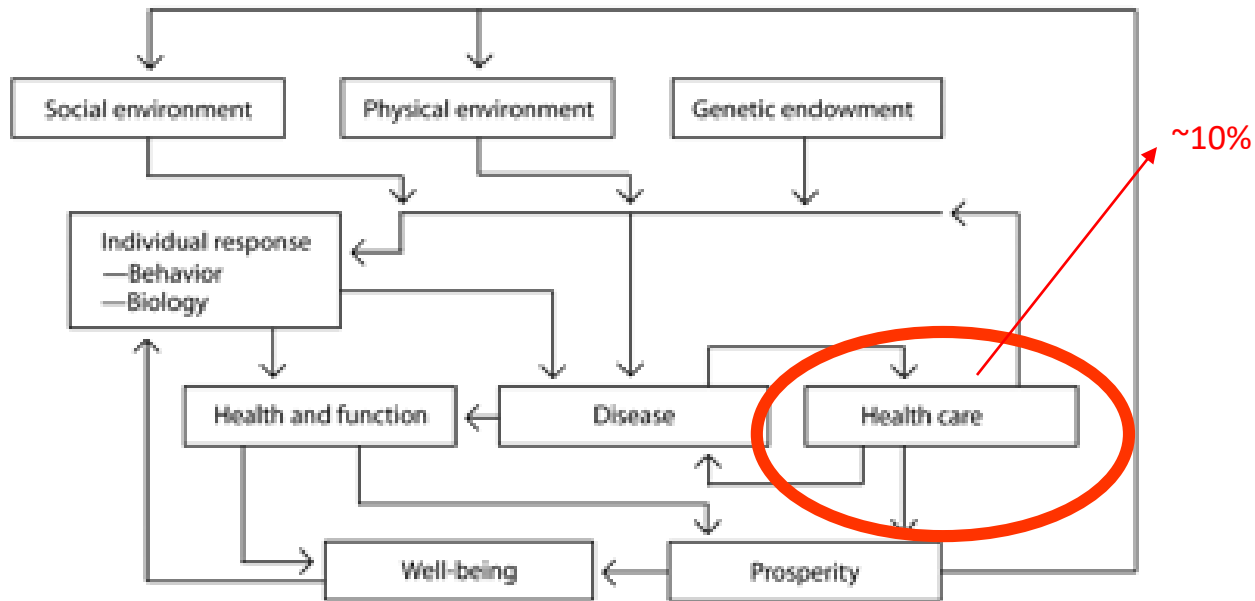
What's Really Killing Us?

Half of all deaths can be attributed to these factors



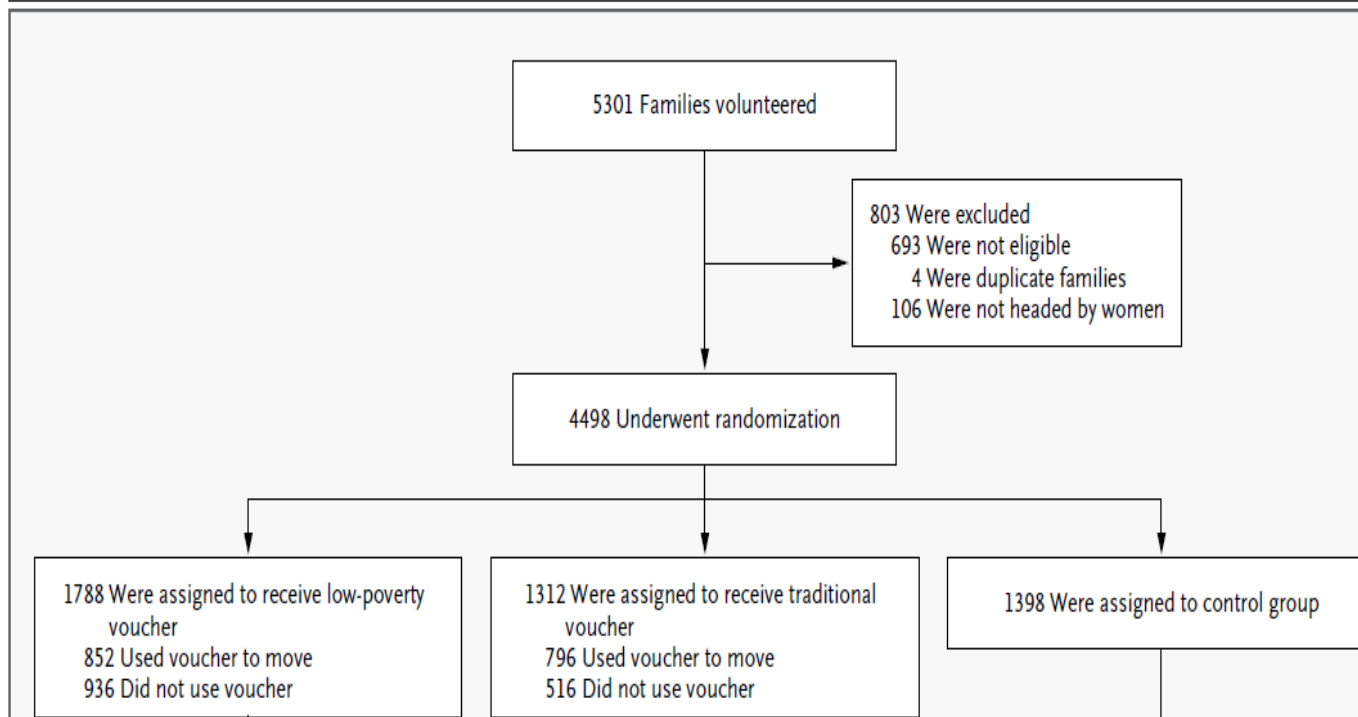
Source: McGinnis, J.M & Foege, W.H.
Actual causes of death in the United
States. JAMA., 270(18), 2207-2212

Determinants of Health



Source: Evans RG, AJP, 2003 Mar

Neighborhoods, Obesity and Diabetes



Ludwig J et al. N Engl
J Med
2011;365:1509-1519.

Body-Mass Index (BMI) and Glycated Hemoglobin Level at Follow-up, According to Study Group.

Table 3. Body-Mass Index (BMI) and Glycated Hemoglobin Level at Follow-up, According to Study Group.*

Variable	Control		Low-Poverty Voucher		Traditional Voucher		
	Prevalence (%)	Intention-to-Treat Estimate (95% CI)†	P Value	Prevalence (%)	Intention-to-Treat Estimate (95% CI)†	P Value	Prevalence (%)
BMI‡							
≥30	58.6	-1.19 (-5.41 to 3.02)	0.58	57.5	-0.14 (-6.27 to 5.98)	0.96	58.4
≥35	35.5	-4.61 (-8.54 to -0.69)	0.02	31.1	-5.34 (-11.02 to 0.34)	0.07	30.8
≥40	17.7	-3.38 (-6.39 to -0.36)	0.03	14.4	-3.58 (-7.95 to 0.80)	0.11	15.4
Glycated hemoglobin§							
≥6.5%	20.0	-4.31 (-7.82 to -0.80)	0.02	16.3	-0.08 (-5.18 to 5.02)	0.98	20.6

* The analysis sample consisted of women with a valid BMI measurement (for the BMI analysis) or a valid glycated hemoglobin measurement (for the glycated hemoglobin analysis) in the long-term follow-up data collection. See the Supplementary Appendix for the sample sizes used.

† Intention-to-treat estimates compare the average outcomes for all participants assigned to an intervention group with the average outcomes for controls, with adjustment for the set of baseline covariates shown in Table 1 and indicators for survey-sample release and random-assignment periods. The effects are calculated with the use of logistic regression and are presented as average marginal effects.

‡ BMI (the weight in kilograms divided by the square of the height in meters) was calculated from measured height and weight for most adults as part of the long-term follow-up data collection. Self-reported values were used for 23 observations in the low-poverty-voucher group, 22 observations in the traditional-voucher group, and 21 observations in the control group.

§ Glycated hemoglobin (HbA_{1c}) was assayed from dried blood spots collected as part of the long-term follow-up data collection.

Ludwig J et al. N Engl J Med. 2011;365:1509-1519.

Life Expectancy of a Prison Guard



- “Life expectancy of a prison guard is 16 years shorter than the general population...”

*- Reducing Staff and Inmate Stress
(Corrections Today)*

Risk Adjustment for Socioeconomic Status or Other Sociodemographic Factors

TECHNICAL REPORT

August 15, 2014

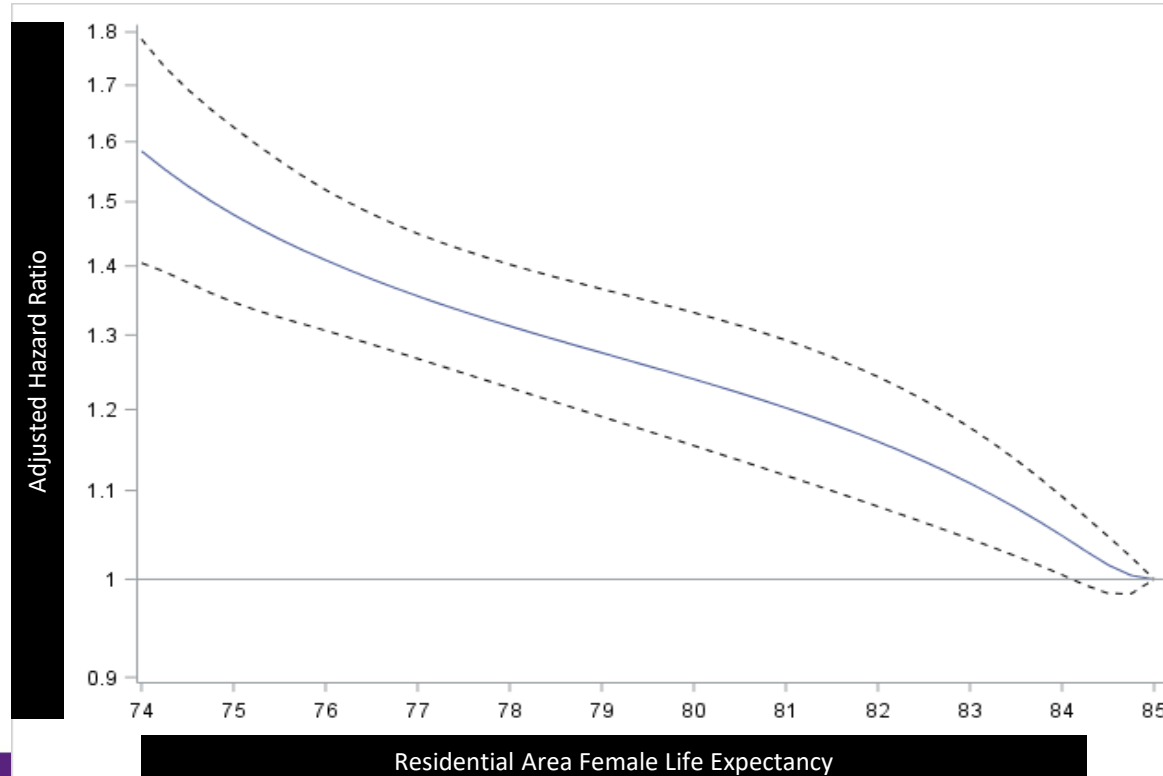
*This report is funded by the Department of
Health and Human Services under contract
HHSM-500-2012-000091 task order 7.*



NATIONAL
QUALITY FORUM



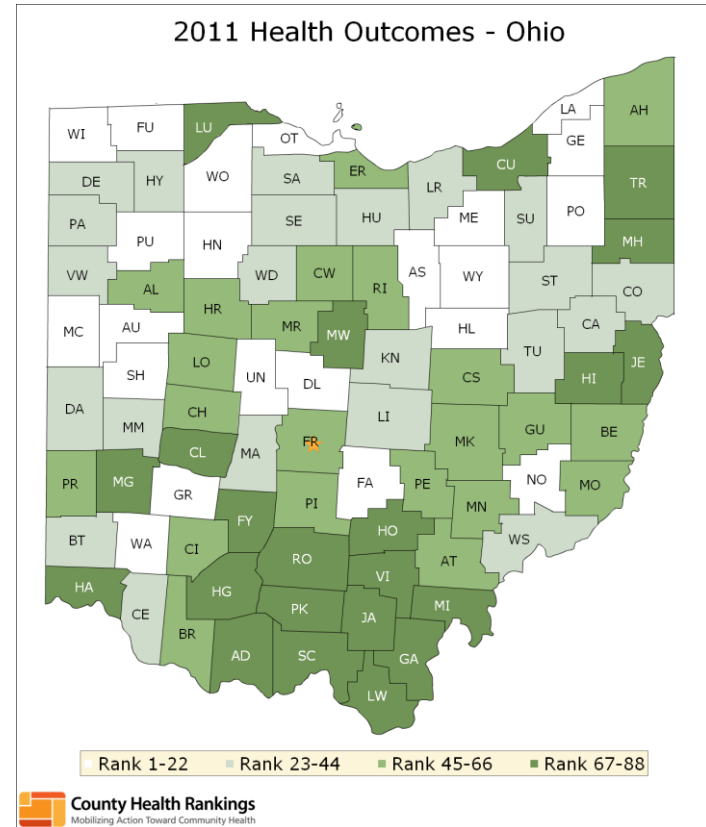
Residential Life Expectancy and ESRD Mortality



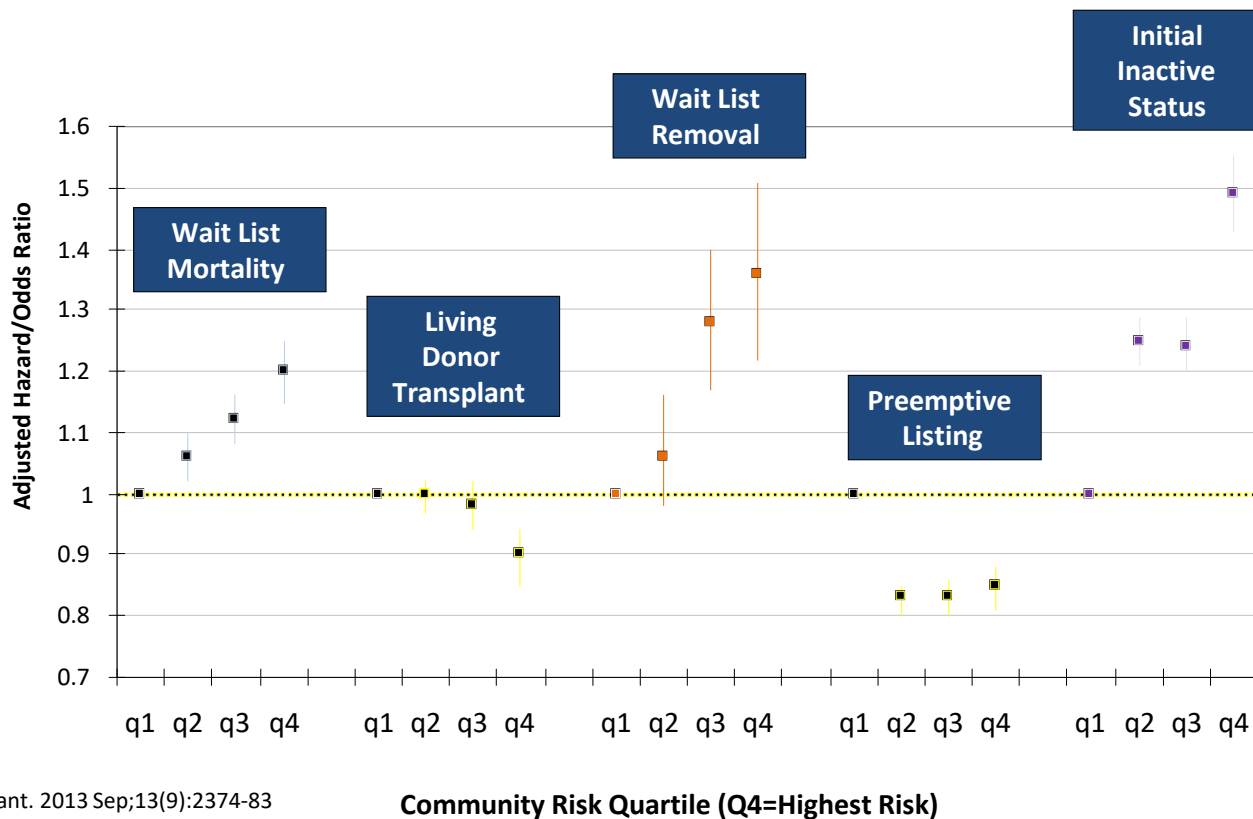
Schold et al, American Journal of Kidney Diseases, June, 2018

Impact of Risk Factors in the Community

Health Factor	Focus Area	Measure
Health behaviors (30%)	Smoking (10%)	Adult smoking rate (10%)
	Diet and exercise (10%)	Adult obesity rate (10%)
	Alcohol use (5%)	Excessive drinking (2.5%) Motor vehicle crash death rate (2.5%)
	Unsafe sex (5%)	Sexually transmitted infection rate (2.5%) Teen birth rate (2.5%)
Clinical care (20%)	Access to care (10%)	Adult uninsured rate (5%) Primary care providers (5%)
	Quality of care (10%)	Hospitalization rates for ambulatory-sensitive conditions (5%) Diabetic screening rate (2.5%) Mammography screening rate (2.5%)
Social and economic factors (40%)	Education (10%)	High school graduation rate (5%) Adults with college degrees (5%)
	Employment (10%)	Unemployment rate (10%)
	Income (10%)	Children in poverty (10%)
	Family and social support (5%)	Social and emotional support (2.5%) Single-parent households (2.5%)
	Community safety (5%)	Violent crime or homicide rate (5%)
Physical environment (10%)	Environmental quality (5%)	Unhealthy air quality due to particulate matter (2.5%) Unhealthy air quality due to ozone (2.5%)
	Built environment (5%)	Access to healthy foods (2.5%) Access to recreational facilities (2.5%)

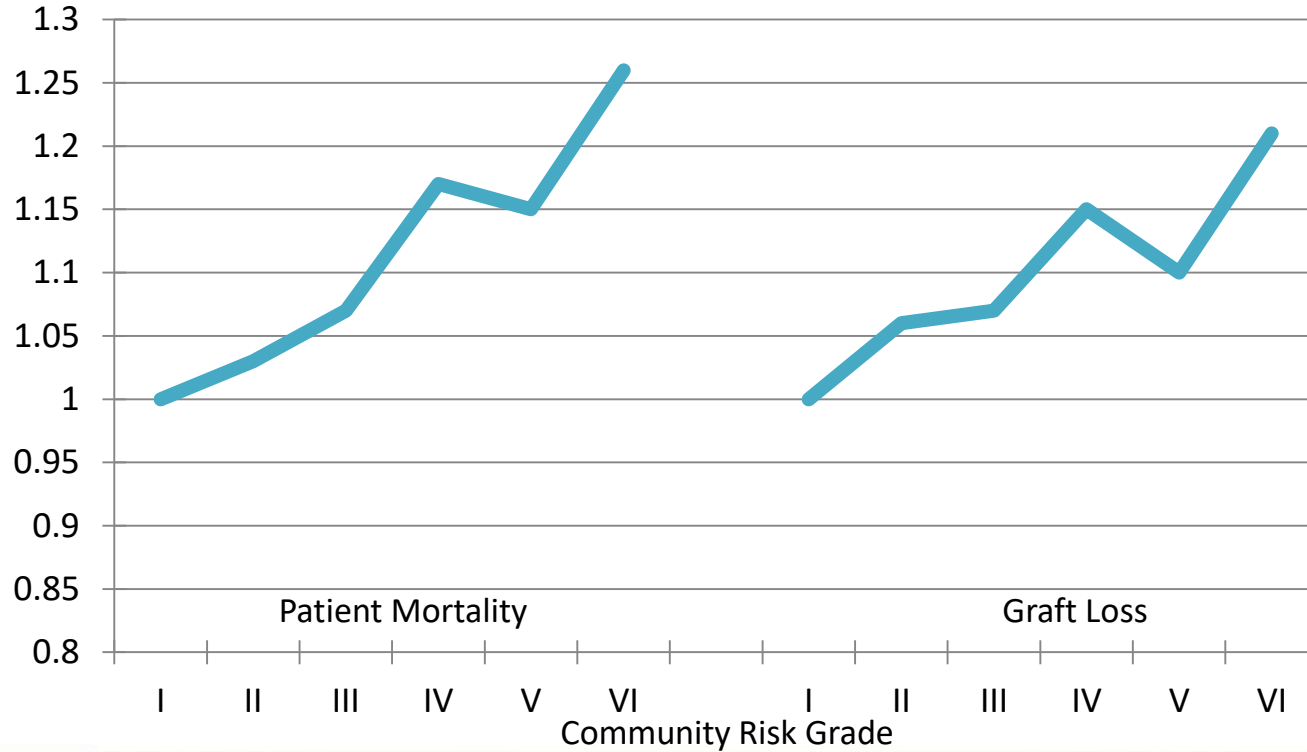


Community Risk is Strongly Associated with Pre-Transplant Processes and Outcomes



Schold JD et al, Am J Transplant. 2013 Sep;13(9):2374-83

Adjusted Hazard for Post-Transplant Outcomes by Cumulative Community Risk Score



Schold et al, Archives of Surgery, 2012

Healthcare System: A Population-based Cohort Study

Table 2. Incidence rate for total graft failure, death-censored graft failure, death with a functioning graft, all-cause mortality, and all-cause hospitalization after kidney transplantation by neighborhood income

Outcomes	Income Quintile				
	Quintile 1, lowest (n=979)	Quintile 2 (n=960)	Quintile 3 (n=873)	Quintile 4 (n=838)	Quintile 5, highest (n=764)
Total Graft failure					
No. events (%)	190 (19.4)	178 (18.5)	161 (18.4)	146 (17.4)	121 (15.8)
No. events per 100 person-years [†] (95% CI)	4.07 (3.52, 4.68)	4.08 (3.51, 4.71)	3.93 (3.36, 4.58)	3.77 (3.20, 4.42)	3.26 (2.72, 3.88)
Death-Censored Graft Failure					
No. events (%)	88 (9.0)	77 (8.0)	87 (10.0)	68 (8.1)	56 (7.3)
No. events per 100 person-years [†] (95% CI)	1.88 (1.52, 2.31)	1.76 (1.40, 2.19)	2.13 (1.71, 2.61)	1.76 (1.38, 2.21)	1.51 (1.15, 1.95)
Death with a Functioning Graft					
No. events (%)	102 (10.4)	101 (10.5)	74 (8.5)	78 (9.3)	65 (8.5)
No. events per 100 person-years [†] (95% CI)	2.18 (1.79, 2.64)	2.31 (1.89, 2.80)	1.81 (1.43, 2.26)	2.02 (1.60, 2.50)	1.75 (1.36, 2.22)
All-Cause Mortality					
No. events (%)	127 (13.0)	126 (13.1)	108 (12.4)	94 (11.2)	82 (10.7)
No. events per 100 person-years [†] (95% CI)	2.58 (2.16, 3.05)	2.75 (2.30, 3.26)	2.48 (2.04, 3.00)	2.31 (1.87, 2.81)	2.12 (1.70, 2.62)
All-Cause Hospitalization					
No. events (%)	651 (66.5)	616 (64.2)	554 (63.5)	521 (62.2)	467 (61.1)
No. events per 100 person-years [†] (95% CI)	29.57 (27.36, 31.91)	29.27 (27.03, 31.66)	25.74 (23.66, 27.95)	26.83 (24.60, 29.21)	23.94 (21.84, 26.19)

Naylor KL et al
Transplantation (in
press)

The Stanford Integrated Psychosocial Assessment for Transplantation (SIPAT): A New Tool for the Psychosocial Evaluation of Pre-Transplant Candidates

Maldonado et al, Psychometrics,
2012, March, 123-132

Psychosocial Domains and Factors Measured by the SIPAT

(A) PATIENT'S READINESS LEVEL and ILLNESS MANAGEMENT (5 items)

Item 1: Knowledge and understanding of medical illness process (that caused specific organ failure)

Item 2: Knowledge and understanding of the process of transplantation

Item 3: Willingness/desire for treatment (transplant)

Item 4: History of treatment adherence/compliance (pertinent to medical issues)

Item 5: Lifestyle factors (including diet, exercise, fluid restrictions, and habits according to organ system)

(B) SOCIAL SUPPORT SYSTEM LEVEL OF READINESS (3 items)

Item 6: Availability of social support system

Item 7: Functionality of social support system

Item 8: Appropriateness of physical living space and environment

(C) PSYCHOLOGICAL STABILITY AND PSYCHOPATHOLOGY (5 items)

Item 9: Presence of psychopathology (other than personality disorders and organic psychopathology)

Item 10: History of organic psychopathology or neurocognitive impairment (i.e., illness or medication-induced psychopathology)

Item 11: Influence of personality traits vs. disorder

Item 12: Effect of truthfulness vs. deceptive behavior

Item 13: Overall risk for psychopathology

(D) LIFESTYLE AND EFFECT OF SUBSTANCE USE (5 items)

Item 14: Alcohol use, abuse, and dependence

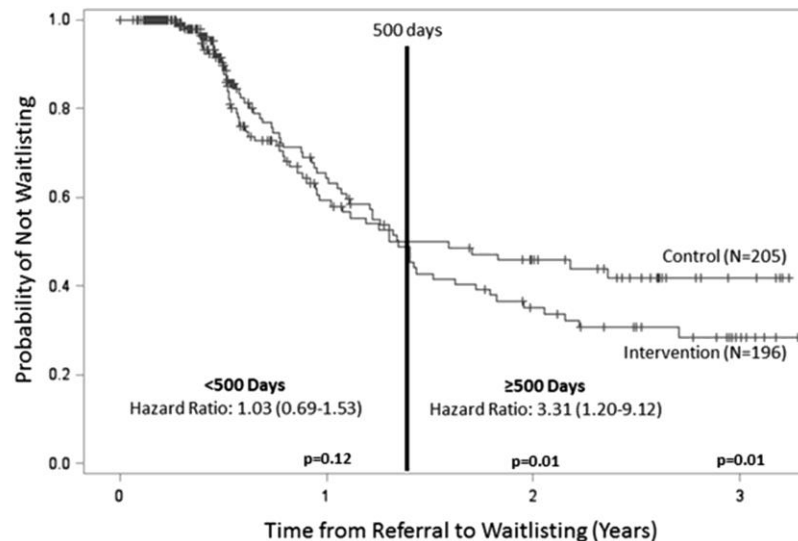
Item 15: Alcohol abuse - risk for recidivism

Item 16: Illicit substance, abuse and dependence

Item 17: Illicit substance abuse - risk for recidivism

Item 18: Nicotine use, abuse, and dependence

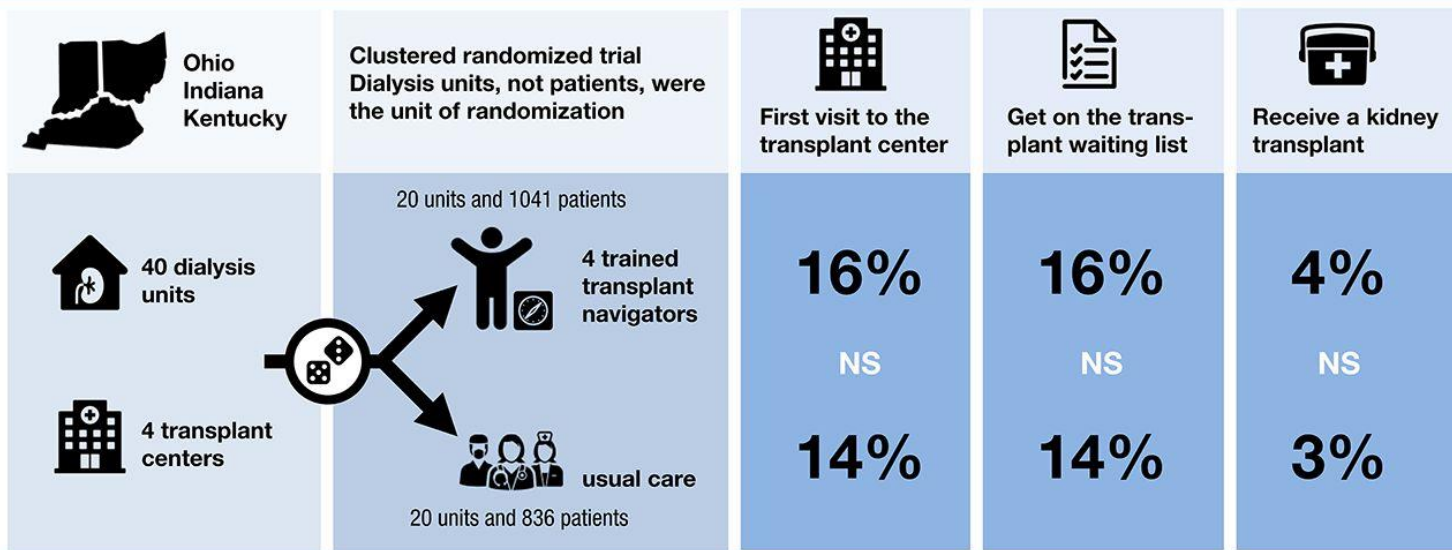
Kaplan–Meier analyses and time-dependent Cox proportional hazards model for waitlisting among intervention versus control study participants showed that patients with a navigator were more likely to be placed on the kidney transplant waiting list after 500



	Year 1		Year 2		Year 3	
	Number at Risk	Number of Waitlisting Events	Number at Risk	Number of Waitlisting Events	Number at Risk	Number of Waitlisting Events
Total Study Population	401	76	325	34	291	6
Intervention	196	35	161	24	137	4
Control	205	41	164	10	154	2

Basu et al. CJASN 2018;13:620-627

Do trained transplant navigators help move patients forward in the kidney transplant process?

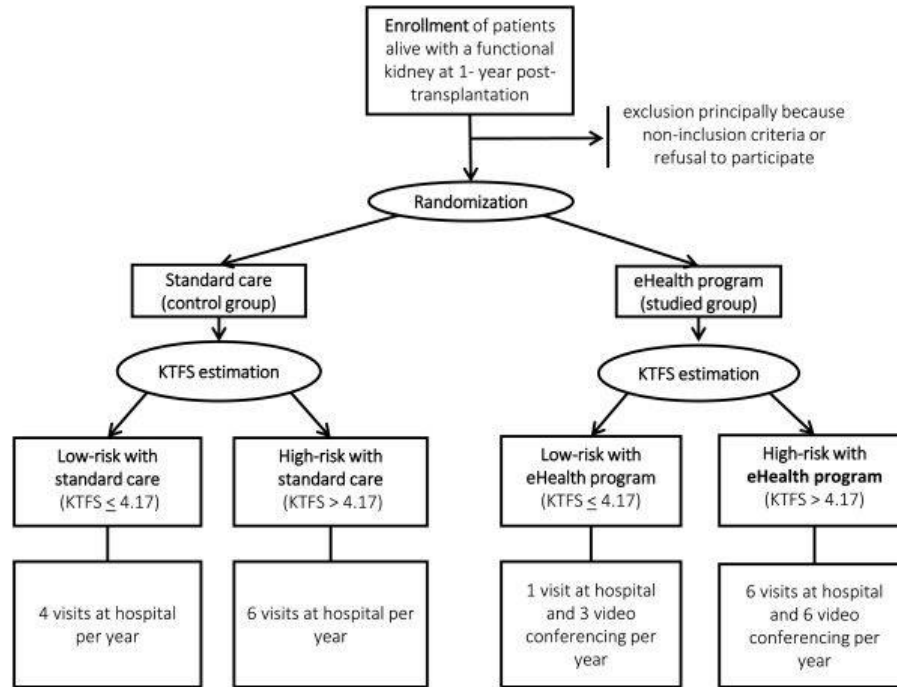


Conclusions Use of trained kidney transplant recipients as navigators did not increase first visits to a transplant center, waiting list placement, and receipt of deceased or living donor transplants.

Catherine Sullivan, Kitty Barnswell, Kate Greenway, Cindy Kamps, Derrick Wilson, Jeffrey Albert, Jacqueline Dolata, Anne Huml, Julie Pencak, John Ducker, Roberto Gedaly, Christopher Jones, Todd Pesavento, and Ashwini Sehgal. **Impact of Navigators on First Visit to a Transplant Center, Waitlisting, and Kidney Transplantation.** CJASN doi: 10.2215/CJN.03100318

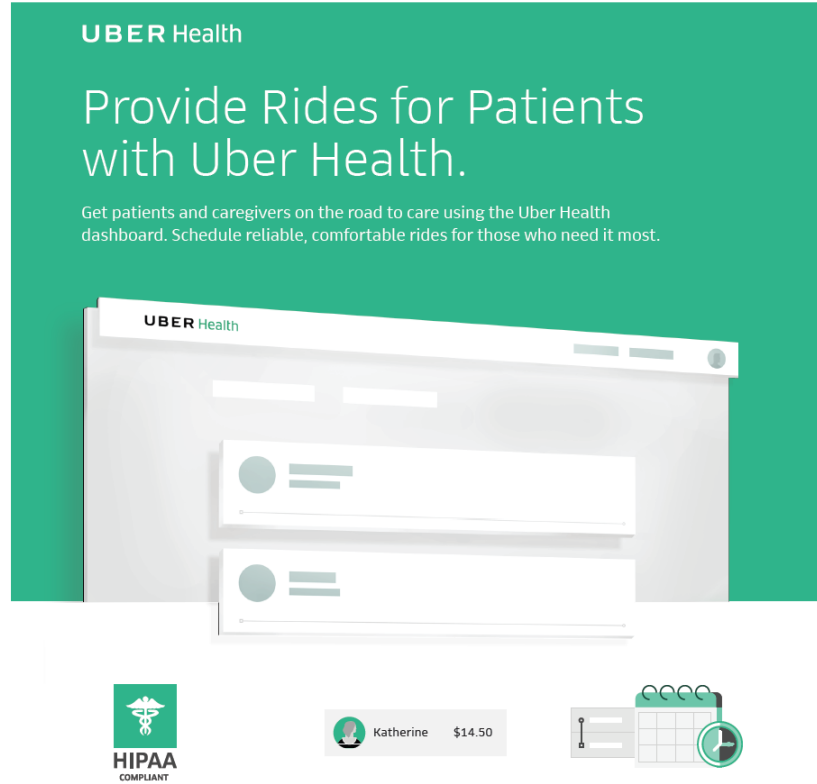
Sullivan et al. CJASN 2018;13:1550-1555

Summary of the TELEGRAFT study design



Foucher et al, BMC Nephrology 2015;16:6

Interventions for Patients with Low Socioeconomic Status



Challenges

- Interventions, policies and systematic data collection addressing access to transplantation for patients with social risk factors
- Recognizing patients at highest risk for non-clinical and traditionally non-codified social factors
- Identifying effective stages to address social risk factors
- Developing interventions that are salient to individual (personalized) social risks
- Considering cost-effectiveness and sustainability of approaches

Conclusions

- Social risk factors are consistently demonstrated to affect patient access to care and outcomes in variety of settings
- These risks are pervasive and have existed throughout the history of transplantation
- The magnitude of these risks are no less than many clinical risk factors that have targeted therapies and interventions
- Developing effective interventions for these populations may improve access to care, improve clinical outcomes and cost-effective care and improve measured center performance